

*Sector*

ZAP-1 CIP CON CIP

Applicants : Yehuda Shoenfeld and Pnina Fishman

Serial No. : 09/405,050

Filed : September 27, 1999

Group Art Unit : 1641

For : IMMUNOTHERAPEUTIC METHOD OF TREATING  
CANCEROUS DISEASES BY ADMINISTRATION OF  
GAMMA GLOBULINS

Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

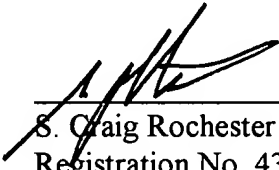
Attention: Box Missing Parts

TRANSMITTAL LETTER

Sir:

Transmitted herewith: [X] a copy of a Notice To File Missing Parts; [X] an executed Declaration and Power of Attorney; [X] a Verified Statement Claiming Small Entity Status; [X] a check in the amount of \$445.00 in payment of: (a) small entity surcharge (\$65.00), and (b) statutory basic filing fee (\$380.00) and ; [X] a Request For Corrected Filing Receipt to be filed in the above-identified patent application.

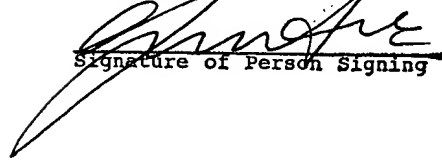
- [X] The Commissioner is hereby authorized to charge payment of any additional fees required in connection with the papers transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

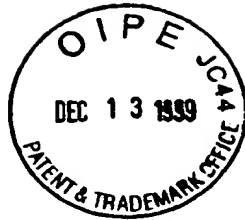
  
S. Craig Rochester  
Registration No. 43,052  
Patent Agent for Applicants

c/o FISH & NEAVE  
1251 Avenue of the Americas  
New York, New York 10020-1104  
Telephone No.: (212) 596-9000

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Claire J. Saintil

  
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ZAP-1 CIP CON CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Yehuda Shoenfeld and Pnina Fishman  
Serial No. : 09/405,050  
Filed : September 27, 1999  
Group Art Unit : 1641  
For : IMMUNOTHERAPEUTIC METHOD OF TREATING  
CANCEROUS DISEASES BY ADMINISTRATION  
OF GAMMA GLOBULINS

New York, New York  
December 7, 1999

Hon. Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: Box Missing Parts

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

This is to request correction of the Filing Receipt issued in connection with the above-identified application. The Filing Receipt issued did not list the residences of the Applicants.

The addresses for the two applicants are as follows:

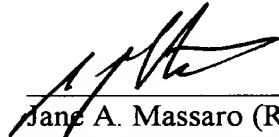
Yehuda Shoenfeld:     Ramat-Gan, ISRAEL

Prina Fishman:         Herzelya, ISRAEL

Applicants have provided herewith a copy of the Filing Receipt with the changes noted thereon.

Applicants request that the Filing Receipt be corrected and that a new receipt be issued.

Respectfully submitted,



Jane A. Massaro (Reg. No. 34,218)  
Attorney for Applicants

S. Craig Rochester (Reg. No. 43,052)  
Patent Agent for Applicants

c/o     FISH & NEAVE  
1251 Avenue of the Americas  
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Tel.: (212) 596-9000  
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*Deborah F. 7/1998*  
Claire J. Saintil

*[Signature]*  
Signature of Person Signing

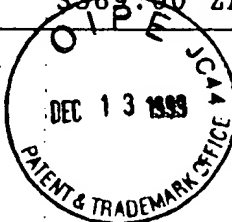
FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/405,050	09/27/99	1641	\$369.00	ZAP-1CIPCONC	3	21	2

FISH & NEAVE  
1251 AVENUE OF THE AMERICAS  
NEW YORK NY 10020



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) RAMAT-GAN, ISRAEL  
YEHUDA SHOENFELD, ~~RESIDENCE NOT PROVIDED~~; PNINA FISHMAN,  
~~RESIDENCE NOT PROVIDED~~.  
HERZELIA, ISRAEL.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CIP OF 08/487,803 06/07/95 PAT 5,965,130  
WHICH IS A CON OF 08/340,094 11/15/94 PAT 5,562,902  
WHICH IS A CIP OF 08/212,361 03/14/94 ABN

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 10/15/99  
TITLE

IMMUNOTHERAPEUTIC METHOD OF TREATING CANCEROUS DISEASES BY  
ADMINISTRATION OF GAMMA GLOBULINS

PRELIMINARY CLASS: 424

RECEIVED

OCT 21 1999

FISH & NEAVE - PATENT DEPT.  
REFERRED TO CR  
NOTED BY SCR

DATA ENTRY BY: THOMAS, SHEILA

TEAM: 06 DATE: 10/15/99

\*\*\*\*\*

(See reverse for new important information)

35, United States Code, Section 18  
Title , Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "FOREIGN FILING LICENSE GRANTED" followed by a date appears on the reverse side of this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.11. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations, especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR Parts 121-128) ); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (i) ); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "FOREIGN FILING LICENSE GRANTED" DOES NOT appear on the reverse side of this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE ---- The Following Information about the Filing Receipt:**

The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.

The words "new," "improved," "improvement," "improvements in or relating to" are not included as the first words in the title of an application because a patent application is, by nature, a new idea or improvement.

The title may be truncated if it consists of more than 4 lines of 70 characters each (letters and spaces combined).

The inventor information may be truncated if the family name consists of more than 25 characters (letters and spaces combined) and if the given name consists of more than 25 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).

The docket number allows a maximum of 12 characters.

If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Customer Address may have been modified to conform to U.S. Postal rules.

Please direct correction, including a copy of your Filing Receipt, to:  
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Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

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Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/405,050	09/27/99	SHOENFELD	Y ZAP-1CIPQNC

FISH & NEAVE  
1251 AVENUE OF THE AMERICAS  
NEW YORK NY 10020



NOT ASSIGNED

1641

DATE MAILED:

10/18/99

## NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☒ small entity (statement filed) ☐ non-small entity is \$ 580

☒ 1. The statutory basic filing fee is:

☒ missing  
☐ insufficient

Applicant must submit \$ 580 to complete the basic filing fee and/or file a small entity statement

☒ 2. Claiming such gains (37 CFR 1.27)

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3

\$ \_\_\_\_\_ for multiple dependent claim surcharge

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint-inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*Shelia Shores T.C.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

00000133-09405050  
12/14/1999 Y61ZAH  
01 FC:205  
02 FC:199  
165.00 DP  
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